

## Application for professional clients

Full name / Name of the legal entity: \_\_\_\_\_

Personal ID no. / Legal Entity ID no.: \_\_\_\_\_

Identity document series and number: \_\_\_\_\_

Trade Register Registration Number: \_\_\_\_\_

***I request to be classified in the category of professional client and declare that I want to be treated as a professional client in relation to all financial instruments and financial investment services offered by Investimental S.A.***

By submitting this request for changing the category of customer from Retail Client to Professional Client, I declare that I meet at least two of the following criteria and I hereby attach the relevant supporting documents:

- ☐ I have carried out an average of 10 transactions of a significant size (over 3,500 RON) per quarter in the last 4 quarters prior to this request;
- ☐ the present value of my portfolio of financial instruments, composed of bank deposits and financial instruments, exceeds EUR 500,000;
- ☐ I have worked/have been working for at least one year in the financial sector in a professional position that requires a knowledge of financial instruments/transactions in financial instruments and financial investment services.

I understand that Investimental S.A. will make its own assessment, based on the supporting documents presented to support my request, and, in case of a favorable solution, I undertake to notify Investimental S.A. of any change that could affect my classification in the category of professional clients.

Date: \_\_\_\_\_

Signature: \_\_\_\_\_

*We inform you and warn you that the classification in the category of professional clients implicitly entails the loss of the rights and protection granted to retail clients, including the waiver of the protection provided by the rules of conduct for retail clients. In particular:*

- professional clients fall into the category of investors whose investments are not compensated by the Investor Compensation Fund, according to the law;*
- you may receive less information about the Investimental or the services provided by it (e.g. on costs, commissions, fees and tariffs);*
- with regard to best execution, Investimental is not required to consider the total costs of the transaction as the primary factor in achieving the best execution;*
- Investimental is not required to inform you about any material difficulties in the proper and timely execution of the order(s) placed;*
- Investimental is not required to provide you with periodic statements with the same frequency as for retail customers;*
- Investimental may assume that, as a professional client, you possess the necessary knowledge and experience to understand the risks associated with investing in financial instruments and have the financial capacity to bear any associated risks according to your investment objectives.*